

Programming Languages and Compilers (CS 421)

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<http://www.cs.uiuc.edu/class/sp07/cs421/>

Based in part on slides by Mattox Beckman, as updated
by Vikram Adve and Gul Agha

Infinite Data and Evaluation Methods

- Handling infinite data calls for different evaluation methods
- Three methods discussed below:
 - *Call by Value*
 - *Call by Name*
 - *Call by Need*

Call by Value

- Remember *eager evaluation*?
- Lambda calculus:
 - $(\lambda x.x)((\lambda y.y)z) \rightarrow (\lambda x.x)(z) \rightarrow z$
- This is call by value. It's what you are probably used to.
- OCaml, C/C++, Java, etc...

Call by Value Example

- let $f\ x = (x+1)*(x+1)$
- $f\ ((3*4)/6) \rightarrow ?$

Call by Value Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow f \ (12/6)$

Call by Value Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow f \ (12/6)$
- $\rightarrow f \ 2$

Call by Value Example

- let $f\ x = (x+1)^*(x+1)$
- $f\ ((3*4)/6)$
- $\rightarrow f\ (12/6)$
- $\rightarrow f\ 2$
- $\rightarrow (2+1)^*(2+1)$

Call by Value Example

- let $f\ x = (x+1)^*(x+1)$
- $f\ ((3*4)/6)$
- $\rightarrow f\ (12/6)$
- $\rightarrow f\ 2$
- $\rightarrow (2+1)^*(2+1)$
- $\rightarrow 3^*(2+1)$

Call by Value Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow f \ (12/6)$
- $\rightarrow f \ 2$
- $\rightarrow (2+1)^*(2+1)$
- $\rightarrow 3^*(2+1)$
- $\rightarrow 3*3$

Call by Value Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow f \ (12/6)$
- $\rightarrow f \ 2$
- $\rightarrow (2+1)^*(2+1)$
- $\rightarrow 3^*(2+1)$
- $\rightarrow 3*3$
- $\rightarrow 9$

Call by Value Example

- let f x = (x+1)*(x+1)

- f ((3*4)/6)

- → f (12/6)

- → f 2

- → (2+1)*(2+1)

- → 3*(2+1)

- → 3*3

- → 9

Argument
evaluated *before*
function call

Only *values* are passed...
Not expressions.

Using Call by Value in Ocaml

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intentionally blank]*

*Ocaml already uses *Call by Value* semantics,
so you don't need to do anything

Call by Value pros/cons

- The Good
 - Efficiency
 - Easy to implement
 - When side-effects are present, easier to understand effects of function call
- The Bad
 - Sometimes wasteful
- The Ugly
 - Can cause otherwise avoidable nontermination

Call by Value nontermination

- `let rec foo x = foo (x + 1);;`
 - `let fTrue a b = a;;`
 - `fTrue 5 (foo 10);;`
-
- We've seen this kind of thing before
 - (Eager evaluation in λ -calculus)

Call by Name

- Remember *lazy evaluation*?
- Lambda calculus:
 - $(\lambda x.x)((\lambda y.y)z) \rightarrow (\lambda y.y)z \rightarrow z$
- This is *Call by Name*
- On function call, pass in whole argument, without evaluating

Call by Name Example

- let $f\ x = (x+1)*(x+1)$
- $f\ ((3*4)/6) \rightarrow ?$

Call by Name Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow (((3*4)/6)+1)^*(((3*4)/6)+1)$

Call by Name Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow (((3*4)/6)+1)^*(((3*4)/6)+1)$
- $\rightarrow ((12/6)+1)^*(((3*4)/6)+1)$

Call by Name Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow (((3*4)/6)+1)^*(((3*4)/6)+1)$
- $\rightarrow ((12/6)+1)^*(((3*4)/6)+1)$
- $\rightarrow (2+1)^*(((3*4)/6)+1)$

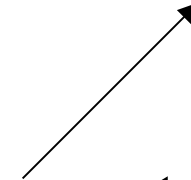
Call by Name Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow (((3*4)/6)+1)^*(((3*4)/6)+1)$
- $\rightarrow ((12/6)+1)^*(((3*4)/6)+1)$
- $\rightarrow (2+1)^*(((3*4)/6)+1)$
- $\rightarrow (3)^*(((3*4)/6)+1)$

Call by Name Example

- let f x = (x+1)*(x+1)
- f ((3*4)/6)
- $\rightarrow (((3*4)/6)+1)*(((3*4)/6)+1)$
- $\rightarrow ((12/6)+1)*(((3*4)/6)+1)$
- $\rightarrow (2+1)*(((3*4)/6)+1)$
- $\rightarrow (3)*(((3*4)/6)+1)$
- $\rightarrow (3)*((12/6)+1)$
- $\rightarrow (3)*(2+1) \rightarrow 3*3 \rightarrow 9$

Argument
passed *without*
evaluating



Using Call by Name in Ocaml

- Can *lambda lift* expressions to delay their evaluation (`fun () -> e`)

- Instead of

```
let f x = (x+1)*(x+1)
```

```
f ((3*4)/6)
```

- Write

```
let f x = ((x())+1)*((x())+1)
```

```
f (fun () -> ((3*4)/6))
```

Call by Name pros/cons

- The Good
 - If it is possible to terminate, it will.
- The Bad
 - Inefficient if you use argument more than once
 - Doesn't play nice with Side Effects

Side Effects

- Consider this C-like imperative code:

```
int x=5;
```

```
int square(int a)
```

```
{
```

```
    return a*a;
```

```
}
```

What does `square(++x)` return?

Side Effects

- What does `square(++x)` return?
- With *Call by Value*
 - Returns $6 * 6 = 36$
- With *Call by Name*
 - Returns $6 * 7 = 42$

But...

- The most useful property of *Call by Name* is its termination property.
 - If you have a non-terminating argument that **is not used**, then *Call by Name* will terminate and *Call by Value* won't.
 - Related: if you have an expensive argument that **is not used**, then *Call by Name* can be more efficient than *Call by Value*.

Call by Need

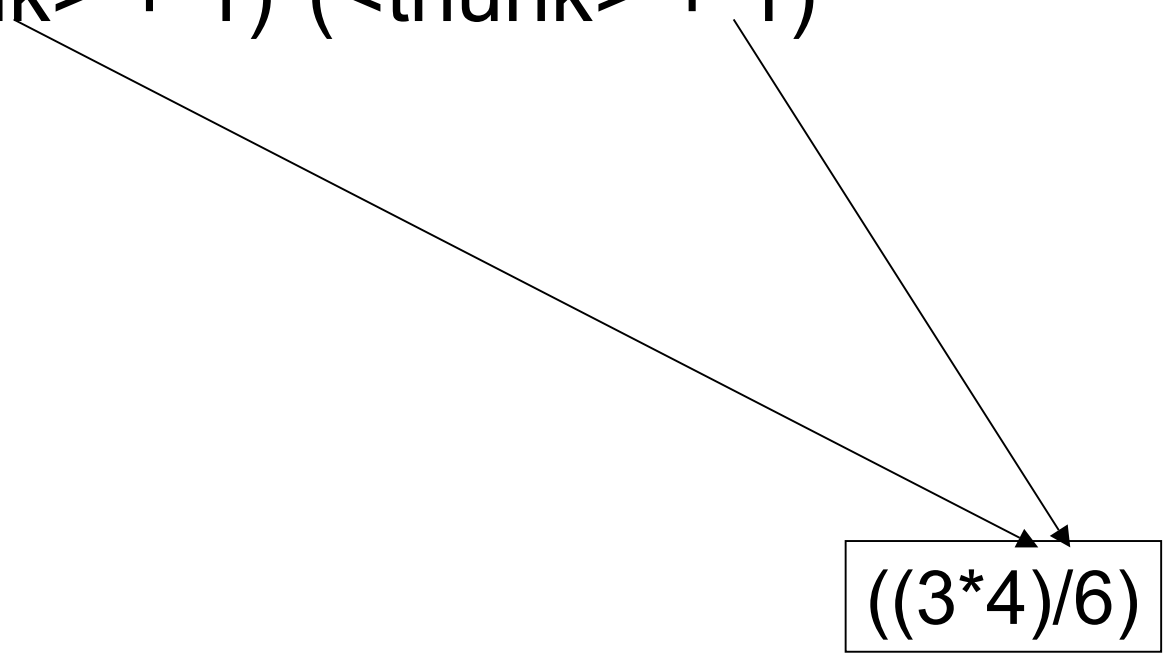
- The “Truly Lazy” form of Evaluation
 - *Call by Name* can end up doing more work than *Call by Value*, but *Call by Need* won't.
- Postpone argument evaluation until value actually needed, *but* only evaluate once.
 - Have to use side-effects!

Call by Need Example

- let $f\ x = (x+1)^*(x+1)$
- $f\ ((3*4)/6) \rightarrow ?$

Call by Need Example

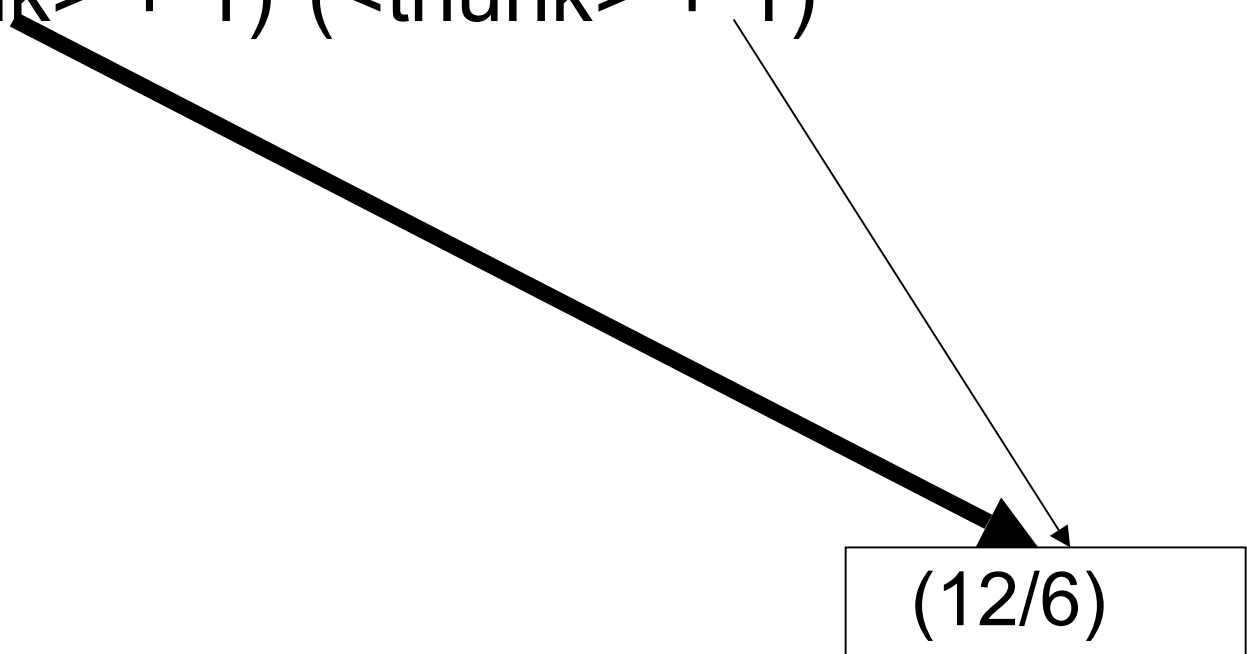
- let f x = (x+1)*(x+1)
- f ((3*4)/6)
- \rightarrow (<thunk> + 1)*(<thunk> + 1)



$((3*4)/6)$

Call by Need Example

- let f x = (x+1)*(x+1)
- f ((3*4)/6)
- \rightarrow (<thunk> + 1)*(<thunk> + 1)



(12/6)

Call by Need Example

- let f x = (x+1)*(x+1)
- f ((3*4)/6)
- \rightarrow (<thunk> + 1)*(<thunk> + 1)

2

Call by Need Example

- let f x = (x+1)*(x+1)
- f ((3*4)/6)
- \rightarrow (<thunk> + 1)*(<thunk> + 1)
- \rightarrow (2 + 1) * (<thunk> + 1)



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Call by Need Example

- let f x = (x+1)*(x+1)
- f ((3*4)/6)
- \rightarrow (<thunk> + 1)*(<thunk> + 1)
- \rightarrow (2 + 1) * (<thunk> + 1)
- \rightarrow 3 * (<thunk> + 1)



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Call by Need Example

- $\text{let } f \ x = (x+1)^*(x+1)$
- $f \ ((3*4)/6)$
- $\rightarrow (\langle\text{thunk}\rangle + 1)^*(\langle\text{thunk}\rangle + 1)$
- $\rightarrow (2 + 1) * (\langle\text{thunk}\rangle + 1)$
- $\rightarrow 3 * (\langle\text{thunk}\rangle + 1)$
- $\rightarrow 3 * (2 + 1)$

Call by Need Example

- let f x = (x+1)*(x+1)
 - f ((3*4)/6)
 - \rightarrow (<thunk> + 1)*(<thunk> + 1)
 - \rightarrow (2 + 1) * (<thunk> + 1)
 - \rightarrow 3 * (<thunk> + 1)
 - \rightarrow 3 * (2 + 1)
 - \rightarrow 3 * 3
 - \rightarrow 9
- Argument is not evaluated until it is needed, but it is evaluated at most once*

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Side-Effects in Ocaml

- Ocaml has some imperative features

```
# let counter = ref 0;;
```

```
val counter : int ref = {contents = 0}
```

```
# counter := !counter + 1;;
```

```
# !counter;;
```

```
- : int = 1
```

```
# counter := !counter + 1;;
```

```
# !counter;;
```

```
- : int = 2
```

Implementing Thunks

- We need three things:
 - A *thunk* or *suspension* to hold the data
 - A function *delay* to suspend an expression
 - A function *force* to give us the value of the suspended expression

```
# type 'a thunk_type =  
  Value of 'a  
| Susp of (unit -> 'a);;
```

Implementing Thunks

```
# let delay f =  
  let thunk = ref (Susp f) in  
  fun () -> match (!thunk) with  
  | Value a -> a  
  | Susp f ->  
    let result = f () in  
    (thunk := (Value result));  
    result );;
```

Implementing Thunks

```
# let force f = f ();;
```

- Instead of

```
let f x = (x+1)*(x+1)
```

```
f ((3*4)/6)
```

- Write

```
let f x = ((force x)+1)*((force x)+1)
```

```
f (delay (fun () -> ((3*4)/6)))
```

The Lazy Module

- Actually, this has been implemented for you already in Ocaml's "Lazy" module
- `lazy` creates the suspension (thunk)
- `Lazy.force` gets the value

```
let f x = ((Lazy.force x)+1)
          *((Lazy.force x)+1)
f (lazy ((3*4)/6))
```


Infinite Data

- `let rec ones = 1::ones`
- But how would you operate on that data?
 - For example, what if you want to do `map` on `ones`?

```
# let twos=List.map ((+) 1) ones;;
```

Stack overflow during evaluation (looping recursion?).

Lazy Lists

```
type 'a llist =
```

```
  Cons of 'a * 'a llist Lazy.t
```

```
  | Nil;;
```

```
let rec ftake n llist =
```

```
  match n, llist with
```

```
  | _, Nil -> []
```

```
  | 0, _ -> []
```

```
  | _, (Cons(x, xs)) -> x :: ftake (n-1) (Lazy.force xs);;
```


Map on an Infinite List

```
let rec lmap f llst =  
  match llst with  
  | Cons (x,xs) -> Cons (f x,  
    lazy(lmap f (Lazy.force xs)))  
  | Nil -> Nil
```


The List of Natural Numbers

let rec nats = ?

Hint: You're going to use `lmap`

The List of Natural Numbers

```
# let rec nats = Cons(1, lazy (lmap ((+) 1)
  nats));;
val nats : int llist = Cons (1, <lazy>)
# ftake 30 nats;;
- : int list =
[1; 2; 3; 4; 5; 6; 7; 8; 9; 10; 11; 12; 13; 14; 15;
 16; 17; 18; 19; 20; 21; 22; 23; 24; 25; 26; 27;
 28; 29; 30]
```

Question

```
# let plus a b = print_string "Plus "; a+b;;  
val plus : int -> int -> int = <fun>  
# let rec nats = Cons(1, lazy (lmap (plus 1) nats));;  
val nats : int llist = Cons (1, <lazy>)  
# ftake 3 nats;;  
Plus Plus Plus- : int list = [1; 2; 3]  
# ftake 5 nats;;  
How many times is Plus printed here?
```

Answer

```
# let plus a b = print_string "Plus "; a+b;;  
val plus : int -> int -> int = <fun>  
# let rec nats = Cons(1, lazy (lmap (plus 1) nats));;  
val nats : int llist = Cons (1, <lazy>)  
# ftake 3 nats;;  
Plus Plus Plus- : int list = [1; 2; 3]  
# ftake 5 nats;;  
Plus Plus- : int list = [1; 2; 3; 4; 5]
```