

Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

Grammars

- Grammars are formal descriptions of which strings over a given character set are in a particular language
- Language designers write grammar
- Language implementers use grammar to know what programs to accept
- Language users use grammar to know how to write legitimate programs

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Types of Formal Language Descriptions

- Regular expressions, regular grammars
- Context-free grammars, BNF grammars, syntax diagrams
- Finite state automata

- Whole family more of grammars and automata – covered in automata theory

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Sample Grammar

- Language: Parenthesized sums of 0's and 1's
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

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BNF Grammars

- Start with a set of characters, **a,b,c,...**
– We call these *terminals*
- Add a set of different characters, **X,Y,Z,...**
– We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

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BNF Grammars

- BNF rules (aka productions) have form $\mathbf{X} ::= y$
where **X** is any nonterminal and *y* is a string of terminals and nonterminals
- BNF grammar is a set of BNF rules such that every nonterminal appears on the left of some rule

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Sample Grammar

- Terminals: 0 1 + ()
- Nonterminals: <Sum>
- Start symbol = <Sum>
- <Sum> ::= 0
- <Sum> ::= 1
- <Sum> ::= <Sum> + <Sum>
- <Sum> ::= (<Sum>)
- Can be abbreviated as
<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)

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BNF Derivations

- Given rules
 $X ::= yZw$ and $Z ::= v$
we may replace Z by v to say
 $X \Rightarrow yZw \Rightarrow yvw$
- Derivation called *right-most* if
always replace the right-most non-terminal

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BNF Derivations

- Start with the start symbol:

<Sum> =>

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BNF Derivations

- Pick a non-terminal

<Sum> =>

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BNF Derivations

- Pick a rule and substitute:
– <Sum> ::= <Sum> + <Sum>

<Sum> => <Sum> + <Sum>

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BNF Derivations

- Pick a non-terminal:

<Sum> => <Sum> + <Sum>

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BNF Derivations

- Pick a rule and substitute:

– $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

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BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

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BNF Derivations

- Pick a rule and substitute:

– $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

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BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

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BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

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BNF Derivations

- Pick a rule and substitute:

– $\langle \text{Sum} \rangle ::= 1$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle$

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BNF Derivations

- Pick a non-terminal:

$$\begin{aligned} \langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \boxed{\langle \text{Sum} \rangle} \end{aligned}$$

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BNF Derivations

- Pick a rule and substitute:

$$\begin{aligned} & - \langle \text{Sum} \rangle ::= 0 \\ \langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \boxed{\langle \text{Sum} \rangle} \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \boxed{0} \end{aligned}$$

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BNF Derivations

- Pick a non-terminal:

$$\begin{aligned} \langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle \\ &\Rightarrow (\boxed{\langle \text{Sum} \rangle} + 1) + 0 \end{aligned}$$

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BNF Derivations

- Pick a rule and substitute

$$\begin{aligned} & - \langle \text{Sum} \rangle ::= 0 \\ \langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle \\ &\Rightarrow (\boxed{\langle \text{Sum} \rangle} + 1) 0 \\ &\Rightarrow (\boxed{0} + 1) + 0 \end{aligned}$$

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BNF Derivations

- $(0 + 1) + 0$ is generated by grammar

$$\begin{aligned} \langle \text{Sum} \rangle &\Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + \langle \text{Sum} \rangle \\ &\Rightarrow (\langle \text{Sum} \rangle + 1) + 0 \\ &\Rightarrow (0 + 1) + 0 \end{aligned}$$

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Your Turn:

$$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$$
$$\langle \text{Sum} \rangle \Rightarrow$$

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BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

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Extended BNF Grammars

- Alternatives: allow rules of form $X ::= y|z$
 - Abbreviates $X ::= y, X ::= z$
- Options: $X ::= y[v]z$
 - Abbreviates $X ::= yvz, X ::= yz$
- Repetition: $X ::= y\{v\}^*z$
 - Can be eliminated by adding new nonterminal V and rules $X ::= yz, X ::= yVz, V ::= v, V ::= vV$

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Regular Grammars

- Subclass of BNF
- Only rules of form $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$ or $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)

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Example

- Regular grammar:
 - $\langle \text{Balanced} \rangle ::= \epsilon$
 - $\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$
 - $\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$
 - $\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$
 - $\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$
- Generates even length strings where every initial substring of even length has same number of 0's as 1's

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Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

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Example

- Consider grammar:
 - $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$
 - $\quad \quad \quad | \langle \text{factor} \rangle + \langle \text{factor} \rangle$
 - $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$
 - $\quad \quad \quad | \langle \text{bin} \rangle * \langle \text{exp} \rangle$
 - $\langle \text{bin} \rangle ::= 0 | 1$
- Problem: Build parse tree for $1 * 1 + 0$ as an $\langle \text{exp} \rangle$

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Example cont.

• $1 * 1 + 0$: $\langle \text{exp} \rangle$

$\langle \text{exp} \rangle$ is the start symbol for this parse tree

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Example cont.

• $1 * 1 + 0$: $\langle \text{exp} \rangle$
|
 $\langle \text{factor} \rangle$

Use rule: $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$

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Example cont.

• $1 * 1 + 0$: $\langle \text{exp} \rangle$
|
 $\langle \text{factor} \rangle$
|
 $\langle \text{bin} \rangle$ * $\langle \text{exp} \rangle$

Use rule: $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle * \langle \text{exp} \rangle$

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Example cont.

• $1 * 1 + 0$: $\langle \text{exp} \rangle$
|
 $\langle \text{factor} \rangle$
|
 $\langle \text{bin} \rangle$ * $\langle \text{exp} \rangle$
|
1 * $\langle \text{factor} \rangle$ + $\langle \text{factor} \rangle$

Use rules: $\langle \text{bin} \rangle ::= 1$ and
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle + \langle \text{factor} \rangle$

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Example cont.

• $1 * 1 + 0$: $\langle \text{exp} \rangle$
|
 $\langle \text{factor} \rangle$
|
 $\langle \text{bin} \rangle$ * $\langle \text{exp} \rangle$
|
1 * $\langle \text{factor} \rangle$ + $\langle \text{factor} \rangle$
|
1 * $\langle \text{bin} \rangle$ + $\langle \text{bin} \rangle$

Use rule: $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$

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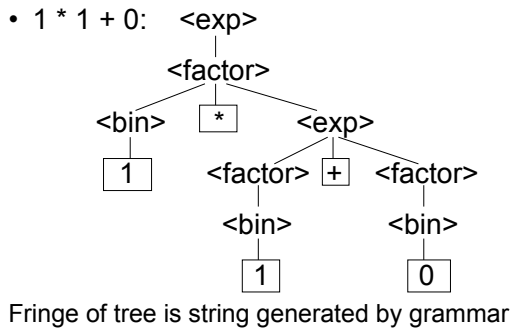
Example cont.

• $1 * 1 + 0$: $\langle \text{exp} \rangle$
|
 $\langle \text{factor} \rangle$
|
 $\langle \text{bin} \rangle$ * $\langle \text{exp} \rangle$
|
1 * $\langle \text{factor} \rangle$ + $\langle \text{factor} \rangle$
|
1 * $\langle \text{bin} \rangle$ + $\langle \text{bin} \rangle$
|
1 * 1 + 0

Use rules: $\langle \text{bin} \rangle ::= 1 \mid 0$

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Example cont.



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Your Turn: $1 * 0 + 0 * 1$

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Parse Tree Data Structures

- Parse trees may be represented by SML datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

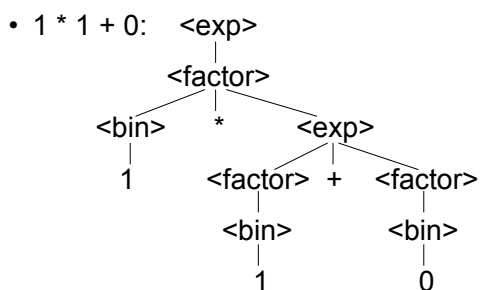
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Example

- Recall grammar:
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$
 $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$
 $\langle \text{bin} \rangle ::= 0 \mid 1$
- datatype `exp` = `Factor2Exp` of `factor`
| `Plus` of `factor` * `factor`
and `factor` = `Bin2Factor` of `bin`
| `Mult` of `bin` * `exp`
and `bin` = `Zero` | `One`

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Example cont.



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Example cont.

- Can be represented as

```
Factor2Exp
(Mult(One,
      Plus(Bin2Factor One,
            Bin2Factor Zero)))
```

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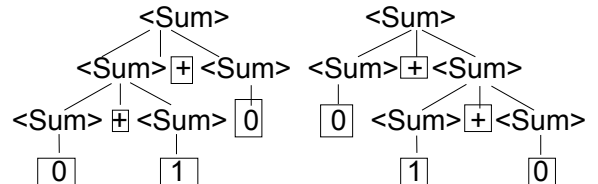
Ambiguous Grammars and Languages

- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

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Example: Ambiguous Grammar

- $0 + 1 + 0$



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Example

- What is the result for:
 $3 + 4 * 5 + 6$

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Example

- What is the result for:
 $3 + 4 * 5 + 6$
- Possible answers:
 - $41 = ((3 + 4) * 5) + 6$
 - $47 = 3 + (4 * (5 + 6))$
 - $29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)$
 - $77 = (3 + 4) * (5 + 6)$

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Example

- What is the value of:
 $7 - 5 - 2$

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Example

- What is the value of:
 $7 - 5 - 2$
- Possible answers:
 - In Pascal, C++, SML assoc. left
 $7 - 5 - 2 = (7 - 5) - 2 = 0$
 - In APL, associate to right
 $7 - 5 - 2 = 7 - (5 - 2) = 4$

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Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

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Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$
- Becomes
 - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
 - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

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Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

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Precedence Table - Sample

	Fortan	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

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First Example Again

- In any above language, $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
 - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

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Predence in Grammar

- Higher precedence translates to longer derivation chain

- Example:

$\langle \text{exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle$
 $\mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$

- Becomes

$\langle \text{exp} \rangle ::= \langle \text{mult_exp} \rangle$
 $\mid \langle \text{exp} \rangle + \langle \text{mult_exp} \rangle$
 $\langle \text{mult_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult_exp} \rangle * \langle \text{id} \rangle$